

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

136608-1

In Re Application Of: **Pai-Paranjape, et al.**

JUL 18 2005



Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/723,542

November 26, 2003

NYA

43248

1756

9741

Title: **METHOD OF AUTHENTICATING POLYMERS, AUTHENTICATABLE POLYMERS, METHODS OF MAKING AUTHENTICATABLE POLYMERS AND AUTHENTICATABLE ARTICLES, AND ARTICLES MADE THEREFROM**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

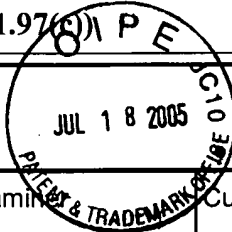
☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
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Pamela J. Curbelo  
Registration No. 34,676  
Phone No. 860-286-2929

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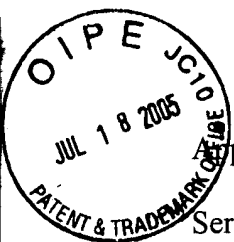
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Dated: July 14, 2005

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Pai-Paranjape, et al. )  
 ) Group Art Unit: 1756  
 Serial No.: 10/723,542 )  
 ) Examiner: NYA  
 Filing Date: November 26, 2003 )  
 )  
 For: METHOD OF AUTHENTICATING )  
 POLYMERS, AUTHENTICATABLE POLYMERS, )  
 METHODS OF MAKING AUTHENTICATABLE )  
 POLYMERS AND AUTHENTICATABLE ARTICLES, )  
 AND ARTICLES MADE THEREFROM )

Commissioner for Patents  
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 Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

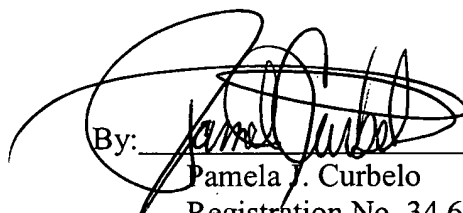
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By:   
 Pamela J. Curbelo  
 Registration No. 34,676

Date: July 14, 2005  
 Customer No.: 43248  
 Telephone: (860) 286-2929



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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of

### Complete if Known

Application Number	10/723,542
Filing Date	November 26, 2003
First Named Inventor	Pai-Paranjape, et al.
Art Unit	1756
Examiner Name	NYA
Attorney Docket Number	136608-1

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known) <sup>2</sup>			
		US- 3,635,895		01/18/1972	Kramer	
		US- 4,001,184		01/04/1997	Scott	
		US- 4,217,438		08/12/1980	Brunelle, et al.	
		US- 4,813,973		03/21/1989	Winnik, et al.	
		US- 5,030,697		07/09/1991	Hugl, et al.	
		US- 5,118,349		06/02/1992	Jalon	
		US- 5,128,419		07/07/1992	Fong, et al.	
		US- 5,142,018		08/25/1992	Sakashita, et al.	
		US- 5,151,491		09/29/1992	Sakashita, et al.	
		US- 5,430,277		07/04/1995	Ohno, et al.	
		US- 5,530,083		06/25/1996	Phelps, et al.	
		US- 5,573,909		11/12/1996	Singer, et al.	
		US- 5,616,674		04/01/1997	Michel, et al.	
		US- 5,925,716		07/20/1999	Fu, et al.	
		US- 5,966,456		10/12/1999	Jones, et al.	
		US- 6,091,563		07/18/2000	Thomas, III et al.	
		US- 6,251,680		06/26/2001	Fu, et al.	
		US- 6,355,420		03/12/2002	Chan	
		US- 6,365,904		04/02/2002	Graves	
		US- 6,380,547		04/30/2002	Gonzalez, et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
			DE 1011851		07/11/1957			
			DE 102 48 870	A1	04/29/2004			
			GB1 487 967		10/05/1977			
			EP 0 181 228	B1	07/11/1985			
			EP 0 608 078	A1	01/14/1994			
			EP 0 625 766	B1	05/18/1994			
			EP 0 698 419	B1	06/12/1994			
			EP 1 220 165	A3	10/12/2001			
			WO 00/77104	A1	12/21/2000			
			EP 0 648 798	A1	04/10/1994			

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>	
	Application Number	10/723,542		
	Filing Date	November 26, 2003		
	First Named Inventor	Pai-Paranjape, et al.		
	Art Unit	1756		
	Examiner Name	NYA		
Sheet		of		
	Attorney Docket Number	1366608-1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US- 6,402,986		06/11/2002	Jones, II et al.	
		US- 6,559,270		05/06/2003	Siclovan, et al.	
		US- 2002/0142236		10/03/2002	Iwasaki, et al.	
		US- 2003/0021998		01/30/2003	Hubbard, et al.	
		US- 2003/0052305		03/20/2003	Coates, et al.	
		US- 2003/0191952		10/09/2003	Anderson, et al.	
		US- 2005/0110978		05/26/2005	Potyrailo, et al.	
		US- 2005/0109983		05/26/2005	Pai-Paranjape, et al.	
		US- 2005/0112768		05/26/2005	Evans, et al.	
		US- 6,364,363		04/02/2002	Stober, et al.	
		US- 6,413,305		07/02/2002	Mehta, et al.	
		US- 6,610,351		08/26/2003	Shchegolikhin, et al.	
		US- 6,706,218		03/16/2004	Lucht, et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)			
			WO 01/20591	A1	03/22/2001		
			WO 03/105075	A1	12/18/2003		
			GB 2 345 879	A	07/26/2000		
			GB 266,455		02/14/1924		

Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO  <h2 style="text-align: center; margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin: 5px 0;"><i>(use as many sheets as necessary)</i></p>		Complete if Known	
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		Filing Date	November 26, 2003
		First Named Inventor	Pai-Paranjape, et al.
		Art Unit	1756
		Examiner Name	NYA
Sheet		of	Attorney Docket Number 136608-1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		JP09104679. Publication Date: 22-04-97. OXADIAZOLE DERIVATIVE AND ITS PRODUCTION. (Abstract Only)	
		International Search Report for International application No. PCT/US2004/039467. Mailed May 10, 2005	
		International Search Report for International application No. PCT/US2004/041349. Mailed April 11, 2005	
		International Search Report for International application No. PCT/US2004/037687. Mailed May 12, 2005	
		International Search Report for International application No. PCT/US2004/038667. Mailed June 2, 2005	
		Cantrell, et al. "The SLIM Spectrometer" Anal. Chem. 2003, 75, 27-35	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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